



Attorney Docket No.: PATENT  
COOL-00700

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 3746  
Thomas W. Kenny et al. ) Examiner:  
Serial No.: 10/669,495 ) **TRANSMITTAL LETTER**  
Filed: September 23, 2003 ) 162 N. Wolfe Road  
For: **MICRO-FABRICATED** ) Sunnyvale, CA 94086  
**ELECTROKINETIC PUMP WITH** ) (408) 530-9700  
**ON-FRIT ELECTRODE** ) Customer No.: 28960

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including a copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 10/5/04

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.  
Date: 10-5-04 By: [Signature]



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In re Application of:	)	Group Art Unit: 3746
Thomas W. Kenny et al.	)	Examiner:
Serial No.: 10/669,495	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
Filed: September 23, 2003	)	<b><u>DISCLOSURE STATEMENT</u></b>
For: <b>MICRO-FABRICATED</b>	)	162 N. Wolfe Road
<b>ELECTROKINETIC PUMP WITH</b>	)	Sunnyvale, CA 94086
<b>ON-FRIT ELECTRODE</b>	)	(408) 530-9700

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P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citation listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make this citation of official record in this application.


Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,179,500;
- U.S. Patent No. 5,839,290; and
- U.S. Patent No. 2002/0096312 A1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 10.5-04

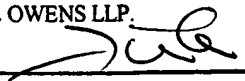
By:   
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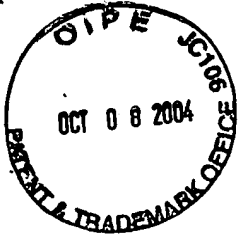
Attorneys for Applicants

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- 2 -

HAVERSTOCK & OWENS LLP  
Date: 10-5-04 By: 



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Filed: September 23, 2003	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>MICRO-FABRICATED</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>ELECTROKINETIC PUMP WITH</b>	)	<b><u>CFR § 1.97(e)</u></b>
<b>ON-FRIT ELECTRODE</b>	)	162 N. Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement were cited within an Official Action mailed on August 24, 2004. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2).**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 10-5-04

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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- 2 -

HAVERSTOCK & OWENS LLP.  
Date: 10-5-04 By: [Signature]

FORM PTO-1449  
(Modified)

OCT 08 2004

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: COOL-00700

Serial No.: 10/669,495

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

(37 CFR § 1.88(b))

Applicants: Thomas W. Kenny et al.

Filing Date: September 23, 2003

Group Art Unit: 3746

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	5,179,500	01/12/93	Koubek et al.	361	385	04/02/91
	AB	5,839,290	11/24/98	Nazeri	62	119	01/24/97
	AC	2002/0096312 A1	07/25/02	Korin	165	58	12/04/01
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
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	BF						
	BG						
	BH						

Examiner:

Date Considered:

**EXAMINER:**

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.